(CFA-1)



## CANDIDATE'S STATEMENT OF ORGANIZATION AND DESIGNATION OF PRINCIPAL COMMITTEE OR EXPLORATORY COMMITTEE

State Form 4604 (R15 / 5-19) Indiana Election Division (IC 3-9-1-3; IC 3-9-1-4; IC 3-9-1-5)

### PLEASE TYPE OR PRINT LEGIBLY IN BLACK INK. SEE INSTRUCTIONS ON REVERSE SIDE.

|  |   |  |                                     |   |               |                      |               | FILE NUMBER  |
|--|---|--|-------------------------------------|---|---------------|----------------------|---------------|--|
| 1. IS THIS AN AMENDM   | ENT? ☐ Yes  | □ No If Ye                                 | es, please ente                     | r the file  | numbei        | in this bo           | x. →          |  |
| SECTION A. CANDI   | DATE INFORM   | MATION: F                                  | ill in all applic                   | cable bo  | yes as        | fully and            | accur         | ately as possible  |
| 2. Last Name   |   | First Name                                 |                                     | Middle Name   |               | Nickname             |               | 3. Type of Committee (Check one) Candidate's Principal Committee Exploratory Committee |
| 4. Mailing Address (number and s   | treet, city, state, and ZIP                           | code)                                      | l .                                 | 5. FAX (C   | Optional)     |                      | 6. E-ma       | il Address (Optional)  |
| 7. City  | State   | ZIP Code                                   | 8. County                           | 9. Tel  |               | lephone (Day)        |               | 10. Telephone (Evening)  |
| 11. Party Affiliation  | IN  |  | 12                                  | Office Sou  | (Includ       | )<br>le district num | her if any    | ( ) Not required for an exploratory committee  |
| ☐ Democratic ☐ Libertarian   | □ Republican □ O                                      | ther                                       |                                     | 011100 000;   | giit (moraa   | o diotriot riarris   | sor, ii ariy. | The required for an exploratory committee  |
| SECTION B. COMM<br>13. Full Name of Committee (D   |   |  |                                     | cable bo  | oxes as       | fully and            | accur         | ately as possible.   |
| 14. Mailing Address (number and  | street, city, state, and Zl                           | <sup>D</sup> code) ☐ Che                   | ck if this is a new ad              | dress. 15.  | FAX (Option   | onal)                | 16. E-m       | ail Address (Optional)   |
| 17. City   | State   | ZIP Code                                   | 18. County                          |   | 19. Telephone |                      | ı             | 20. Committee Organization Date (mm/dd/yy)   |
| 21. Chairperson's Full Name  | ☐ Designate Cand                                      | lidate as Chairpe                          | rson.                               | f this is a ne  | w chairper    | son.                 |               |  |
| 22. Mailing Address (number and  | street, city, state, and Zl                           | P code) ☐ Chec                             | ck if this is a new ad              | dress. 23.  | FAX (Option   | tional) 24. E-I      |               | ail Address (Optional)   |
| 25. City   | State   | ZIP Code                                   | 26. County                          |   | 27. Tel       | ephone (Day)         |               | 28. Telephone (Evening)  |
| •  | •   | •  |                                     | •   |               |                      |               | y deposit boxes or maintains funds.)   |
| 30. Exploratory Committee (Giv   | ve brief statement explain                            | ing purpose of an ex                       | ploratory committee only            |   |               |                      |               | he committee pay the candidate a salary o<br>ch a copy of the contract.)               |
| SECTION C. APPOIl 32. I, as Chairperson committee, appoint the formattee of the Committee o | of the forego<br>ollowing person<br>e.                | ing Person Ap<br>as                        |                                     |   | surer.        | Signature            | e of the C    | ommittee Chairperson   |
| 34. Mailing Address (number and  | street, city, state, and Zli                          | P code) ☐ Ched                             | ck if this is a new ad              | dress. 35.  | FAX (Option   | onal)                | 36. E-m       | ail Address (Optional)   |
| 37. City   | State   | ZIP Code                                   | 38. County                          | (   | )<br>39. Tel  | ephone (Day)         |               | 40. Telephone (Evening)  |
|  |   |  |                                     |   | (             | )                    |               | ( )  |
| SECTION D. ACCEF 41. I give notice that I a Committee. I am not the permitted for a candidate  | ccept the duties<br>chairperson of<br>committee under | s and respon<br>a campaign<br>IC 3-9-1-7). | sibilities of Tre<br>finance commit | asurer of   |               | gnature of P         | erson A       |  |
| We certify as the candid   |   | y appointed                                | Chairperson of                      |   |               |                      | have          | FOR OFFICE USE ONLY  |
| 42. Typed or Printed Name  |   |  |                                     | and belief it is true, correct and co<br>of Chairperson |               |                      | y)            |  |
| 43. Typed or Printed Name of Candidate   |   | Signature                                  | Signature of Candidate              |   |               | Date (mm/dd/yy)      |               |  |
| Warning: State law requires the person who knowingly files a fra accurate report as required by subject to civil penalties (IC 3-9-4)  | audulent report community the Indiana Campai          | mits a Level 6 D<br>gn Finance Law         | felony (IC 3-14-1-1                 | 3). A perso   | n who fails   | to file a com        | plete or      |  |

# INSTRUCTIONS FOR COMPLETING THIS FORM

This is a dual purpose form. The most common use of this form is to designate a candidate's principal committee in which the candidate is both the chairperson and treasurer. The form enables the candidate to organize and at the same time designate the candidate's principal committee as required by IC 3-9-1-3, IC 3-9-1-4 & IC 3-9-1-5.

The form also allows a person exploring opportunities for seeking a political office but who has not definitely decided to be a candidate for a particular office to organize an exploratory committee. If the candidate is not yet a candidate for a **specific** office, check "exploratory committee" under Section A 3. When the candidate **does** become a candidate for a specific office, an amended CFA-1 must be filed.

The preparer should **type or print legibly in black ink** all information on this form. If more space is needed please attach additional sheets. All versions of State Form 4604 prior to revision (R10/10-01) are obsolete and cannot be used (IC 3-5-4-8). State law requires that any changes on this form must be reported **WITHIN TEN (10) DAYS OF THE CHANGE**.

**ITEM 1. IS THIS AN AMENDMENT?** Check the appropriate box. If "YES" is checked, enter the file number in the file number box and go on to Section A. If "NO" is checked, proceed directly to Section A.

**SECTION A. CANDIDATE INFORMATION:** Enter the name of the candidate, as set forth in the candidate's voter registration record and any nickname the candidate uses.

**TYPE OF COMMITTEE.** See second paragraph above. Be sure to enter the full and current address and the ZIP Code+4, if known. Under party affiliation, enter the party the candidate supports. If no party is supported, enter "Independent." A "Write-In" candidate should follow the same procedure; do not write "Write-In."

**OFFICE BEING SOUGHT.** Enter the full name of that office. For example, "Indiana State Senator, District \_\_\_\_." *This box is not required to be completed by an exploratory committee.* 

**SECTION B. COMMITTEE INFORMATION:** Do not use any abbreviations in the committee name. Check the new name box if this is a new name. Be sure to enter the full and current mailing address. All correspondence with the committee relative to filings under the Campaign Finance Act will be mailed to this address, unless specified otherwise.

Check the new address if appropriate. Enter the ZIP Code+4, if known, in all boxes calling for the ZIP Code. The date the committee was organized may be the date the candidate publicly announced, filed a declaration of candidacy, solicited or accepted contributions, or made an expenditure. (IC 3-5-2-6)

ITEM 16. COMMITTEES FILING WITH THE INDIANA ELECTION DIVISION ONLY: Committees that file campaign finance reports with the Indiana Election Division and wish to file these reports electronically may contact the Election Division at (800) 622-4941 or at the e-mail address <a href="mailto:campaignfinance@iec.in.gov">campaignfinance@iec.in.gov</a> for further information.

**ITEM 21. Chairperson.** This may be the candidate. However, the chairperson may not be the treasurer of any other campaign finance committee except in the case of the candidate's committee. Check if this is a new chairperson or new information.

**ITEM 29. Bank or Other Depositories.** If a candidate's committee accepts contributions or makes expenditures on an aggregate amount of more than two hundred dollars (\$200) in a year, all funds of a committee must be segregated from, and may not be commingled with, the personal funds of officers, members or associates of the committee. (IC 3-9-2-9)

**ITEM 30. Exploratory Committee.** Enter a brief statement explaining the purpose of this committee. Example: "To receive and expend funds to explore the opportunities for elected office."

**ITEM 31. Salaries and Reimbursements.** Pursuant to Indiana Election Commission Advisory Opinion 2001-01, attach a copy of any contract between the committee and the candidate permitting salary and reimbursement payments.

#### **SECTION C. APPOINTMENT OF TREASURER:**

**ITEM 32. Treasurer.** The treasurer must be a U.S. citizen and may not be the chairperson of any other campaign finance committee except in the case of a candidate's committee. The treasurer's duties and responsibilities are discussed in detail in the Instruction Manual for the Indiana Campaign Finance Act *(current edition)*. Check if this is a new treasurer or new information. This section must be completed in its entirety by the committee chairperson.

**SECTION D. ACCEPTANCE OF APPOINTMENT:** The treasurer must provide the treasurer's written signature verifying acceptance of the duties and responsibilities as committee treasurer.

**SECTION E. CERTIFICATION OF STATEMENT:** The chairperson and candidate must enter their typed or printed names, written signatures and date signed in this section. **Note:** If the candidate and the chairperson are the same only one signature is necessary.

### SPECIAL INSTRUCTIONS FOR STATEWIDE CANDIDATES AND STATE LEGISLATIVE CANDIDATES

This form must be filed **electronically** with the Election Division. Contact 1-800-622-4941 for more information. *If you are opening a committee for the first time, or amending your current CFA-1 due to a change in treasurer or chair,* a signed copy of the CFA-1 (with original signatures) must also be emailed or faxed to the Election Division.

**WARNING:** Any information contained in this statement may not be copied for sale or used for any commercial purpose. (IC 3-9-4-5) Using campaign funds for primarily personal purposes is prohibited. (IC 3-9-3-4 and IC 3-9-1-12)